CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being electronically transmitted via EFS-WEB on January 21, 2009.

/William R. Allen/ January 21, 2009 Date

William R. Allen, Ph.D.

Reg. No, 48,389

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Furukawa et al. Confirmation No.: 5663

Serial No.: 10/767,065 Filed: January 29, 2004

Art Unit: 2811 Examiner: Ori Nadav

Title: VERTICAL NANOTUBE SEMICONDUCTOR DEVICE

STRUCTURES AND METHODS OF FORMING THE SAME

Attorney Docket: ROC920030268US1

> Cincinnati, Ohio 45202 Date: January 21, 2009

AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In reply to the non-final Office Action dated October 30, 2008, please amend the aboveidentified application as follows:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 5 of this paper.